What can OSP do for you?

STUART TAUB, DIRECTOR, SPONSORED PROGRAMS
OSP Mission

Supporting funded research and other scholarly activity by providing comprehensive guidance and service to the campus community, while ensuring responsible stewardship of sponsored funds
## FY 2020 Highlights

<table>
<thead>
<tr>
<th>Item</th>
<th>FY2019</th>
<th>FY2020</th>
<th>Change from FY2019</th>
</tr>
</thead>
<tbody>
<tr>
<td>Proposals Submitted (by number)</td>
<td>510</td>
<td>697</td>
<td>25% increase</td>
</tr>
<tr>
<td>Proposals Submitted (Total $)</td>
<td>$229.6M</td>
<td>$287.4M</td>
<td>25% increase</td>
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</tbody>
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### Proposals Count - Q4 FY2020 (COVID-19 Quarter)

<table>
<thead>
<tr>
<th>Year</th>
<th>Proposals Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY2016</td>
<td>163</td>
</tr>
<tr>
<td>FY2017</td>
<td>133</td>
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<tr>
<td>FY2018</td>
<td>139</td>
</tr>
<tr>
<td>FY2019</td>
<td>132</td>
</tr>
<tr>
<td>FY2020</td>
<td>183</td>
</tr>
</tbody>
</table>
Syracuse University Awards Received FY 2020
($82.15M total)

- Federal Funding: 61.33% / $50.4
- Foundations & Non-profit Organizations: 22.55% / $18.5
- New York State: 11.42% / $9.4
- Corporations: 1.01% / $0.8
- Other State/Governmental: 2.81% / $2.3
- All Other: 0.88% / $0.7

Awards Received in Millions of $
OSP’s Role at SU

- Unit of Academic Affairs
  - Report to VP for Research

- Central unit designated to legally commit the University on applications/proposals submitted to sponsors.

- Execute contracts and agreements
OSP Responsibilities

**Assure** proposal’s **compliance** with University & Sponsor guidelines (includes limited submissions)

**Authorize** applications & proposals;

**Negotiate** award instruments: contracts and subcontracts, grants, non-disclosure or materials transfer agreements; and

**Facilitate** responsible use and accountability of sponsor’s funds in collaboration with Sponsored Accounting.
OSP Services

- Review RFP instructions, regulatory guidelines and compliance requirements
- Draft budgets and budget narratives
- Strategize with you about how to ‘spend’ money
- Run Current & Pending support of your ‘sponsored’ funds
- Review proposals for completeness/compliance with RFP
- Facilitate multi-investigator or multi-institutional proposals
PI Eligibility

“To ensure project quality and to protect the University’s reputation, academic, research or professor-of-practice faculty or faculty at the assistant, associate, or full professor level are given authority to direct research and scholarly activities as the PI/PD or co-PI on a sponsored program. Administrative staff at the director or higher level may also serve as a PI/PD or co-PI...”

- Individuals in other titles or who are not full time salaried academics are ineligible to serve as PI/PD or co-PI/PD unless a waiver of this policy is obtained from the Vice President for Research.

- Post-docs and/or Graduate Assistants may serve as PI’s for sponsors that name them “PI”, but in the University’s systems, the faculty mentor is typically named as the PI, and Post-doc and/or Graduate Assistants are named the Co-PI.
Office of Research

Office of Sponsored Programs (OSP)
Office of Proposal Support Services (OPSS)
Office of Research Integrity and Protections (ORIP)
Office of Technology Transfer (OTT)
Syracuse Office of Undergraduate Research & Creative Engagement (SOURCE)
Clarifying instructions, regulatory guidelines and compliance requirements

What do they really mean when instructions say...

Who is eligible to apply?

Who is a “key personnel”?

What are these “certifications and assurances”.. Do I need to be concerned?

What are the key review criteria?
Draft budgets and budget narratives

OSP budget template (sponsoredprograms.syr.edu)

Sample budget narratives (sponsoredprograms.syr.edu)

Confirm reasonableness and accuracy of budget and allowability of costs
  ◦ Budget is the financial expression of statement of work...
Review proposal text for completeness

Responsiveness to format requirements and review criteria

- Avoid applications returned without review

- Avoid inadvertent inclusion of cost-sharing or other unintended commitments

- Troubleshoot any submission portal challenges prior to deadline
Facilitating & developing multi-investigator or multi-institutional proposals

OSP facilitated meet n’ greets with interested parties

OSP and PI develops strategy for application preparation
  ◦ OSP manages the administrative items – biosketches, current & pending, facilities etc.
  ◦ OSP coordinates budget development/submission by partners
  ◦ OSP prepares outline for responsive application.

**Lead time:** As much as possible!
OSP Service Guidelines

When to notify OSP of your plans
- As soon as you decide to apply
  - Also notify your chair, dean and any others...

Budget & budget justification
- 7 business days before the deadline

Complete application
- 3 business days before the deadline

Fully signed IRR
- By the submission deadline
Your OSP Team for Proposal and Award Support

- Please consult the [OSP website](https://www.osp.syr.edu) for the OSP Research Administrator who supports your School, College, Department or Center.

  - Amy Deppa | asdeppa@syr.edu | x9355
  - Amy Graves | ajgraves@syr.edu | x9360
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  - Caroline McMullin | cmcmulli@syr.edu | x 9358
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- Stuart Taub | staub@syr.edu | x9356